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Date: January 13, 2010 Name: Scott A. Timmerman (Reg. No. 55,678) Signature: /Scott A. Timmerman/

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James Farrell et al.

Serial No: 10/713,126

Examiner: Weis, Samuel

Filed: Nov. 14, 2003

Group Art Unit: 3693

For: SYSTEM AND METHOD FOR
CONTROLLING MARKETS DURING
A STOP LOSS TRIGGER

Confirmation No.: 9585

Attorney Docket No.: 4672/310

AMENDMENT & REMARKS

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated October 13, 2009, Applicants' respectfully request consideration of the following remarks in view of the attached listing of claims: